- DRAFT -

How Good is Your Machine Translation?

Quality Estimation for Direct User Feedback

Andrea Brennen¹, Ab Mosca², Remco Chang², Nina Lopatina³

¹ IQT Labs, Waltham, MA & ³ IQT Labs, Menlo Park, CA

² Tufts University, Medford, MA

{abrennen, nlopatina}@iqt.org, {amosca01, remco}@cs.tufts.edu

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1 Introduction

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In 2017 Facebook's machine translation (MT) algorithm incorrectly translated a construction worker's Arabic-language post. The original post said "good morning" in Arabic, but was erroneously translated into Hebrew as "attack them," leading to the worker's arrest and several hours of questioning. No Arabic-speakers were asked to verify the machine translation of the post leading up to the arrest (Hern, 2017). For many people who use machine translation, it is easy to forget that translated output is susceptible to error and, as illustrated by this situation, that translation errors can lead to severe consequences. Our goal in this work was to develop and evaluate a tool to

Abstract

Mistranslation by AI has led to bad

outcomes, including erroneous arrests. To

address this problem, we designed a tool

called VeriCAT, short for Verification of

Computer-Assisted Translation. We used a

Quality Estimation model to predict a

sentence-level quality score for individual

snippets of Russian text that had been

translated into English and we designed a

simple user interface to display these

scores, along with the text snippets, in a

way that helps users determine whether to

sentence. We evaluated VeriCAT by

conducting a quantitative user study to

measure how the tool impacted users'

ability to identify poor quality translations

and we found that the tool significantly

increased their accuracy on this task. We

also demonstrated that users performed the

task as accurately with VeriCAT's predicted

quality scores as they did with human-

generated quality scores.

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help users determine when, and whether, to trust machine translation.

Our prototype solution is called VeriCAT, short for Verification of Computer-Assisted Translation. The tool combines a Quality Estimation (QE) model with a simple user interface (UI). Our initial VeriCAT prototype assesses the translation quality of text snippets that have been translated from Russian into English by the FairSeq model (Ott et al., 2019). VeriCAT's QE model is a trained version of OpenKiwi's predictor-estimator model (Kim, et al., 2017). The UI displays output from this model – a predicted quality score, visualized as some value out of a possible 100 – for individual sentences of machine-translated text (Figure 1).

The objective of QE is to train a machine learning model to predict a quality score for translated text that is similar to what a human would assign to that translation (Maučec and Donaj, 2019). MT model developers typically use QE for validation and model improvement and previous work has demonstrated the value of QE in post-editing. VeriCAT is novel in its use of QE to provide feedback directly to MT end users.

Many MT accuracy metrics, such as BLEU score (Papineni et al., 2002), provide information about the accuracy of a MT model in general. For example, FairSeq has a BLEU score of 40.0 on Russian to English translation, calculated with the SacreBLEU standard (Post, 2018). These metrics, however, do not provide information about specific snippets of translated text. In contrast, a QE model outputs predictions about the translation quality of individual sentences. At the outset of this work, we hypothesized that predicted quality scores could benefit people using machine translated text, by helping them determine whether to trust a specific machine-translated sentence.

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If a user of MT text is not a speaker of the original language and does not have additional contextual information, the only basis for judging translation quality is fluency, which refers to how well the text follows the target language's norms, taking into account grammar and clarity (Maučec and Donaj, 2019). In many cases, fluency is a reasonable proxy for translation quality. However, this is not always true; for example, one common source of MT error is incorrect or inconsistent translation of names and proper nouns.

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To test our hypothesis that QE could help end users of MT, we designed and conducted a quantitative user study. In this study, we measured how well the VeriCAT prototype helped users perform a task that required them to assess the quality of Russian text snippets that were translated into English. The task incorporated examples of erroneous translations that appeared fluent, i.e. where fluency was not an adequate proxy for translation quality. The results of our user study indicated: (1) that study participants with access to VeriCAT's quality scores performed better on the task than those without; (2) that participants with access to sentence-level quality scores performed better than those who saw predictions of wordlevel errors; and (3) that participants who saw VeriCAT's predicted quality scores performed as well as those who saw human-generated quality scores (which we treated as ground-truth). These results illustrated the utility of VeriCAT and supported our hypothesis that QE can be a helpful source of feedback not only for MT model developers, but also to MT users.

2 Related Work

Recent advances have greatly improved the accuracy of machine translation, but human translators still outperform MT in overall accuracy and in preserving the original meaning of translated text (Maučec and Donaj, 2019). As MT becomes more widespread, translation inaccuracies are a greater concern. One way to address this issue is to use a second machine learning model to assess the quality of the MT model's output.

MT quality can be measured with a variety of metrics. Direct Assessment (DA) scores capture human judgements of translation fluency and adequacy (Snover et al., 2009); metrics such as BLEU, NIST, METEOR, and TER approximate human judgement through automated means (Maučec and Donaj, 2019); and HTER (humanmediated translation error rate) blends automated assessments and human judgments by capturing the number of post edits made to a MT by a human translator (Maučec and Donaj, 2019). Different metrics are suited for different applications. Some are appropriate for documentlevel quality assessment, whereas others can be used to train a QE model to predict the quality of specific sentences. HTER and DA have previously been used to train sentence-level QE models (Graham et al., 2017; Turchi et al., 2014).

There are some existing tools designed to make QE accessible to non-MT experts. Avramidis created a GUI for this purpose (2017), but it required users to be proficient in Python and the command line. Collins et al.'s lattice visualization illustrates uncertainty in MT text (2007), but we doubt that this tool (which was designed for an instant messaging use case) could scale to full passages of text. Albrecht et al.'s human-AI collaborative system uses visualization to help users gain intuition about a translation's source language to help them correct errors in MT (2009) and DeNeefe et al. developed an interactive tool called a DerivTool, which is intended to give users intuition about MT models (2005). However, VeriCAT is distinct in its use of QE to provide contextual information about particular snippets of text directly to MT users.

We found little prior work related to the usability of MT or QE systems, effective communication of MT error, or how QE might impact a user's ability to make decisions based on perceived translation quality. Martindale and Carpuat conducted a usability study to investigate whether revealing MT errors in fluency and adequacy might change users' trust in MT (2018). They found that poor fluency in translations significantly influenced users' trust of MT, but also, that trust was easily rebuilt. OpenKiwi (Kepler et al., 2019) performed a demo of a user interface for QE at ACL 2019; however, the team has not released their code, a demo, or a user study. There was a demonstration titled: "XAIT: An Interactive Website for Explainable AI for Text" at the IUI conference in 2020. However, when we reviewed the papers cited by this work we did not find any that related specifically to MT usability (Oduor et al., 2020).

3 VeriCAT System Overview

VeriCAT helps users assess the translation quality of Russian sentences that have been translated

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200 into English using FairSeq (Ott et al., 2019). Commonly used MT accuracy metrics such as 201 BLEU score (Papineni et al., 2002) provide 202 information about the accuracy of a MT model in 203 general, but VeriCAT is unique in providing 204 information about the translation quality of 205 individual sentences through an accessible UI. 206 The tool is particularly helpful when translation 207 fluency is a poor proxy for translation quality. 208

3.1 Training Dataset

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210 The VeriCAT QE model is finetuned on a dataset composed of 7,000 labeled sentence pairs. The 211 sources of this text are passages from Reddit and 212 Russian Proverbs from wikiquotes. The training 213 dataset is curated from these sources because they 214 represent types of text on which machine 215 translation models are challenged. Each sentence 216 is translated using the pretrained FairSeq model 217 (Ott et al., 2019) that performed best at World 218 Machine Translation 2019 (news task, the most 219 recent results from the annual benchmark for MT). Each sentence has 3 Direct Assessment 220 (DA) score quality judgments by human 221 translators. These DA scores were labeled by 222 ModelFront. Each DA score is rated on a scale 223 from 1-100, with 100 representing a perfect 224 translation. Across the dataset the average score 225 was 68. These labeled data were contributed to the 226 World Machine Translation Workshop (Nov 227 2020) as part of the Quality Estimation Shared Task (https://statmt.org/wmt20). 228

3.2 Quality Estimation (QE) Model

230 Quality Estimation benchmarks are set annually at 231 the World Machine Translation QE Shared task. At 232 the time of this study, the most accurate QE model 233 available in the open source was the Predictor-234 Estimator model (Kim et al., 2017), open-sourced 235 by OpenKiwi (Kepler et al., 2019) and the 236 benchmark for WMT 2020. We pretrained the 237 predictor model on the same parallel datasets that 238 the FairSeq translation model (Ott et al., 2019) was 239 trained on. We finetuned the estimator model on 240 the novel Russian-English QE dataset described 241 above, tuning the following hyperparameters from the baseline model: epochs, hidden LSTM layers, 242 learning rate, batch size, and dropout. We obtained 243 a Pearson correlation of 0.62 on the development 244 set, which we used to test, since the shared task test 245 set was not known to us. We ran inference with this 246 model to generate the predictions used in our UI 247 demo and confirmed the correlation between 248

predicted and actual scores for this data subset was 0.67, which was in line with the model's expected performance.

3.3 User Interface (UI)

In the VeriCAT UI, a passage of text is broken down into individual sentences. For each sentence, users see the original (Russian) text, the FairSeq translation (English), and VeriCAT's quality score for that sentence (Figure 1). Quality scores are represented with a horizontal bar, where the percentage of the bar that is colored represents the score on a scale from 1 to 100. For clarity, the numerical value of the quality score is also displayed. These sentence-level quality scores are intended to help users quickly assess the translation quality for each sentence, to determine if the MT needs further inspection by a human. The demo UI also has the capacity to show predicted word-level errors in the translated text; these are highlighted in red.



Figure 1: The VeriCAT user interface.

4 Evaluation

To evaluate VeriCAT and test if QE could provide useful feedback directly MT users we conducted a between-subjects experiment with participants recruited via Amazon's Mechanical Turk.

4.1 Task & Study Procedure

In our user study experiment, we measured how well VeriCAT helped users perform a task that was analogous to that which motivated the design of the tool. We showed participants 3-sentence passages of text that had been translated from Russian into English with the FairSeq model (Ott et al., 2019). Participants were informed that a MT model had translated the passage and that they would be asked to answer comprehension questions based on the passage. 250

300 The quality of two of the translated sentences was good, but the third was poor. Prior to 301 answering the comprehension questions, we gave 302 participants (who did not speak Russian) the 303 opportunity to select the sentence they believed 304 was the poorest quality translation to be re-305 translated by a human. Participants also had the 306 option not to select any sentence for re-307 translation. After providing the re-translation, we asked participants to answer two comprehension 308 questions. We used their answers as an attention 309 check, but for analysis, we scored participants' 310 accuracy based on whether they choose the 311 sentence with the lowest quality translation 312 (measured by a human-generated Direct 313 Assessment score) for re-translation. 314

4.2 Passage Type

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316 Participants repeated this task for four passages. 317 We designed these passages to test whether 318 participants prioritized the quality scores or (their own intuition based on) fluency, as a primary 319 indicator of poor translation quality. To do this 320 we identified translated sentences in each of four 321 categories: (1) good quality/good fluency, (2) 322 good quality/ poor fluency, (3) poor quality/good 323 fluency, (4) poor quality/poor quality. In our 324 study, two passages include sentences of types 325 (1), (2), and (3). The other two passages include 326 sentences of types (1), (2) and (4). We expected categories (2) and (3) - where quality scores 327 conflicted with fluency (and intuition) -- to be the 328 most difficult for participants to assess correctly. 329

4.3 Experimental Conditions

We recruited 385 study participants via Amazon's 332 Mechanical Turk and randomly assigned them to 333 5 groups. The Baseline group saw only the 334 original (Russian) and translated (English) text 335 snippets, with no additional information from the 336 QE model. Participants in the other four groups 337 were shown different versions of the VeriCAT UI 338 each showing different information about translation quality. Group 1 saw sentence-level 339 quality scores, drawn from human-generated DA 340 scores; Group 2 saw word-level errors 341 (highlighted in red) in each translated sentence; 342 Group 3 saw both sentence-level quality scores 343 and word-level errors; and Group 4 saw 344 sentence-level quality scores predicted by 345 VeriCAT's Quality Estimation model. These 346 predicted scores were imperfect and reflected a smaller magnitude of difference between the 347 scores of the "good" and "poor" quality sentences 348 349

than the human-generated DA scores, but the poorly translated sentences always had the lowest predicted score. Two of these conditions are shown in Figure 2.

at would rely on fluency as a proxy for translation quality, following prior work by Martindale and Carpuat (2018). Therefore, we expected them to have lower accuracy on our experimental task.

[H2] We anticipated that different types of information about translation quality would not provide equivalent utility to users. Therefore, we expected to see differences in participants' performance across Groups 1, 2, and 3.

[H3, exploratory] We did not know how VeriCAT's predicted quality scores would compare to human-generated quality scores, in terms of their effect on users' performance, but this is what we hoped to investigate by comparing participants' performance in Groups 1 and 4.

4.5 Results Summary

To calculate participants' performance accuracy, we summed the number of correct answers across all four passages and divided by 4. Table 1 summarize results across all 4 passages and Figure 3 shows a more detailed breakdown of responses for Passages 1 and 2.

[H1] Participants with access to information about translation quality (displayed through the VeriCAT UI) performed the task better (i.e. they



400		Correct selection	Incorrect selection	No selection
401	Baseline	6	44	50
402	Avg 1-4	39	34	27
403 404	Group 1	52	28	20
405	Group 2	24	36	40
406	Group 3	42	38	19
407	Group 4	37	33	30
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410	Table 1: Summary of Participant Responses (%)			

411 more frequently selected the poorest quality 412 sentence for re-translation by a human). Only 6% 413 of participants in the baseline group selected 414 correctly, compared to 39% of participants across 415 Groups 1, 2, 3 and 4. Interestingly, we saw that 416 participants in the baseline condition often opted for no-retranslation. Proportions of participants 417 giving each answer for Passages 1 & 2 are shown 418 in Figure 3. To test if the differences we observed 419 were significant, we ran a Kruskal-Wallis test of 420 overall score ~ condition and found a significant 421 difference across conditions (H(2) = 29.7, p <422 0.001). A post-hoc Dunn's multiple comparisons 423 test with a Bonferroni corrected alpha (0.02) 424 showed significant pairwise differences between 425 Human Quality and baseline (Z = 5.2, p < 0.01), and baseline and Predicted Quality (Z = -4.3, p <426 0.01). [H2] Different types of information about 427 MT quality did not provide equivalent utility to 428 users. 52% of participants who saw sentence-level 429 quality scores (Group 1) selected correctly, 430 compared to 24% who saw word-level errors 431 (Group 2). Access to additional information did 432 not improve participants' performance. 52% of 433 participants who saw sentence-level quality 434 scores selected correctly (Group 1), compared to 42% who saw sentence-level quality scores and 435 word-level errors (Group 3). 436

[H3] VeriCAT's predicted quality scores provided considerable utility. 37% of participants who saw predicted quality scores (Group 4) made the correct selection. While this was lower than the 52% in Group 1, it was notably higher than the 6% who selected correctly in the Baseline group. We interpreted this result as suggesting that the accuracy of VeriCAT's QE model, despite only .6 correlation with human judgement, still provided substantial value to end users. Figure 4 shows *overall_score* distributions by condition.

5 Lessons Learned

In this work, we sought to test whether QE could provide value directly to MT users, by helping them assess the translation quality of individual sentences. Our results indicated that VeriCAT, the tool we designed for this purpose, did substantially improve users' performance on a task that asked them to identify poor quality MT. While performance was slightly lower with predicted quality scores (from our QE model) than with human-generated quality scores, both led to significantly better performance than intuitive assessment of) translation (users' fluency. Additionally, our results suggested that some methods of displaying information about MT quality were better than others. Participants shown sentence-level quality scores significantly outperformed those who saw word-level errors. They also outperformed those who saw sentencelevel scores and word-level errors, suggesting that the additional information provided by word-level errors was not helpful. This finding informed our thinking about VeriCAT's QE model and as a result, we decided not to develop word-level error prediction features. This is an example of how UI prototyping and evaluation can inform model design, by revealing which features provide the most value to end users.



Figure 3: Participant Responses for Passages 1 & 2.

Figure 4: Distribution of Responses.

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502Source code for VeriCAT is available at:503https://github.com/IQTLabs/VeriCAT504A demo is available here:
https://iqtlabs.github.io/VeriCAT-UI/505

Acknowledgments

VeriCAT was designed, developed, and evaluated at IQT Labs, with support from Glenn Gaffney, Bob Gleichauf, and Zach Lyman. The authors would like to thank John Pope for his software development assistance, and Lucia Specia and Alex Fraser for their insightful comments and feedback.

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